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ILLINOIS COMMERCE COMMISSION

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COMMERCE COMMISSION

(File this application via e-docket, or if unable to do so, file one original verified application with the Chief Clerk.)

Docket No. _____

ICC Office Use Only

Please provide the appropriate information in the () areas in the heading below.

TRICOM USA, INC.

Application for a certificate of
interexchange authority
to operate as a reseller of telecommunications
services throughout the entire
State of Illinois.

00-0736

**APPLICATION FOR CERTIFICATE TO BECOME A
TELECOMMUNICATIONS CARRIER**
(Use additional sheets as necessary.)

GENERAL

1. Applicant's Name(including d/b/a, if any)

FEIN # 13-3927530

TRICOM USA, INC.

Address:

Street ONE EXCHANGE PLACE, SUITE 400

City JERSEY CITY State/Zip 07302

2. Authority Requested: (Mark all that apply)

_____ 13-403 Facilities Based Interexchange

X 13-404 Resale of Local and/or Interexchange

_____ 13-405 Facilities Based Local

3. Request for waivers/variances: In applications for local exchange service authority under Sections 13-404 or 13-405, waivers of Part 710 and of Section 735.180 of Part 735 are generally requested. In applications for interexchange service authority under Sections 13-403 and 13-404, waivers of Part 710 and Part 735 are generally requested. Please indicate which waivers Applicant is requesting and explain why Applicant is requesting each waiver/variance.

X Part 710 Uniform System of Accounts for Telecommunications Carriers

X Part 735 Procedures Governing the Establishment of Credit, Billing,

Deposits, Termination of Service and Issuance of Telephone Directories
for Local Exchange Telecommunications Carriers in the State of Illinois

 X Section 735.180 Directories

 Other

4. For all applicants requesting local exchange authority under Section 13-404 or Section 13-405, please complete the following:

NOT APPLICABLE

- (a) the Standard Questions for Applicants Seeking Local Exchange Service Authority found in Appendix A of this document
- (b) the 9-1-1 Questions for Applicants Seeking Local Exchange Service Authority found in Appendix B of this document;
- (c) the Financial Questions for Applicants Seeking Local Exchange Service Authority found in Appendix C of this document; and
- (d) if applicable, the Prepaid Service Questions for Applicants Seeking Local Exchange Service Authority found in Appendix D of this document.

5. In what area of the state does the Applicant propose to provide service?

TRICOM USA, INC, will offer IXC resale services throughout the entire state of Illinois.

6. Please attach a sheet designating contact persons to work with Staff on the following:

- a) issues related to processing this application
- b) consumer issues
- c) customer complaint resolution
- d) technical and service quality issues
- e) "tariff" and pricing issues
- f) 9-1-1 issues
- g) security/law enforcement

Please identify each contact person's (i) name, (ii) title, (iii) mailing address, (iv) telephone number, (v) facsimile number, and (vi) e-mail address.

Please see Attachment I.

7. Please check type of organization?

 Individual

 Partnership

 Other (Specify)

 X Corporation

Date corporation was formed 1-15-92

In what state? Delaware

8. Submit a copy of articles of incorporation and a copy of certificate of authority to transact business in Illinois.

Please see Attachment II & III.

9. List jurisdictions in which Applicant is offering service(s).

New Jersey, New York, Massachusetts, Florida, Puerto Rico, U.S. Virgin Island, Canada, Rhode Island, Georgia, Connecticut, District of Columbia

10. Has the Applicant, or any principal in Applicant, been denied a Certificate of Service or had its certification revoked or suspended in any jurisdiction in this or another name?

YES (Please provide details) X NO

11. Have there been any complaints or judgements levied against the Applicant in any other jurisdiction?

X	YES	NO
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If YES, describe fully.

TRICOM USA, INC. was fined \$100 by the Florida Public Service Commission in Docket 991775 for failure to pay a regulatory fee on a timely basis.

12. Has Applicant provided service under any other name?

YES X NO

If YES, please list.

13. Will the Applicant keep its books and records in Illinois? YES ☒ NO ☐

If NO, permission pursuant to 83 Ill. Adm Code Part 250 needs to be requested.

MANAGERIAL

14. Please attach evidence of the applicant's managerial and technical resources and ability to provide service. This may be in either narrative form, resumes of key personnel, or a combination of these forms.

Please see Attachment IV.

15. List officers of Applicant.

Manuel A. Pellerano, President

Carlos Vargas, Treasurer

Carl M. Carlson, VP

Marcos Troncoro, Secretary

Romon Torrago, VP International Business

16. Does any officer of Applicant have an ownership or other interest in any other entity which has provided or is currently providing telecommunications services? X YES NO

If YES, list entity.

TRICOM INTERNATIONAL SERVICES, INC. ("TIS") is a wholly owned subsidiary of TRICOM USA, INC. TIS was formed on June 30, 1999 under the General Corporation Law of Delaware. TIS acts

as an agent for TRICOM USA, INC. arranging for telecommunications services in the Dominican Republic paid by residents in New York.

17. How will Applicant bill for its service(s)? (At a minimum, describe how often the Applicant will bill for service and details of the billing statement.)

Due to the nature of prepaid calling card services in which a customer or authorized user purchases a calling card that is characterized by its pre-set monetary value, the tendering of a bill to a customer or authorized user at the end of a billing cycle is not applicable.

18. How does Applicant propose to handle service, billing, and repair complaints?

When a customer experiences technical difficulties making their call, the customer can call a 1-800 customer service number for assistance.

A credit allowance for the Company's Prepaid Calling Card service is applicable for a call that is interrupted due to poor transmission, one-way transmission, or involuntary disconnection of the call. To receive the proper credit, the customer must notify the Company at the toll-free Customer service number provided by the Company promptly.

19. Will personnel be available at Applicant's business office during regular working hours to respond to inquiries about service or billing? ☒ YES ☐ NO

20. What telephone number(s) would a customer use to contact your company?

1-800-788-7372

21. Will Applicant abide by all Federal and State slamming and cramming laws pursuant to Section 13-902 of the Public Utilities Act and Section 258 of the 1996 Telecommunications Act?

☒ YES ☐ NO

22. Please describe applicant's procedures to prevent slamming and cramming of customers?

Not applicable for the service to be provide

23. If granted authority to operate as a local exchange carrier, will the applicant abide by the following 83 Illinois Administrative Code Parts: 705, 710, 720, 725, 730, 735, 755, 756, 757, 770, and 772?

Not applicable

☐ YES ☐ NO (If no, please provide an explanation.)

24. Is Applicant aware that it must file tariffs prior to providing service in Illinois?

☒ YES ☐ NO

FINANCIAL

25. Please attach evidence of Applicant's financial fitness through the submission of its most current income statement and balance sheet, or other appropriate documentation of applicant's financial resources and ability to provide service.

Please see Attachment V.

TECHNICAL

26. Does Applicant utilize its own equipment and/or facilities? _____ YES ☒ NO

If YES, please list the facilities Applicant intends to utilize. Also include evidence that Applicant possesses the necessary technical resources to deploy and maintain said facilities:

If NO, which facility provider(s)'s services does the Applicant intend to use?

MCI Worldcom, Sprint, and/or ATT

27. Please describe the nature of service to be provided (e.g., operator services, internet, debit cards, long distance service, data services, local service, prepaid local service).

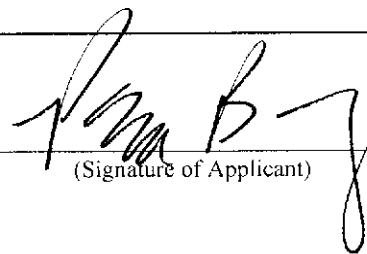
TRICOM USA, INC. will solely offer prepaid calling cards to the public. The cards are intended to be operated solely for intrastate or interstate, interexchange and international calls.

28. Will technical personnel be available at all times to assist customers with service problems?

☒ YES _____ NO

29. If Applicant intends to provide payphone service, will the equipment utilized comply with FCC requirements and Finding (9) of the Commission Order entered in Docket No. 84-0442 on June 11, 1986, including, but not limited to: (a) touch dialing; (b) access to 9-1-1 and "0" operator dialing without use of a coin; (c) rules governing use of payphones by disabled persons; (d) ability to complete local and long-distance calls; (e) unlimited duration for local calls; and (f) a message explaining the telephone's general operations, dialing instructions for emergency assistance, payphone owner's name, method of reporting service problems and method of receiving credit for faulty calls? _____ YES _____ NO

Not applicable


(Signature of Applicant)

VERIFICATION

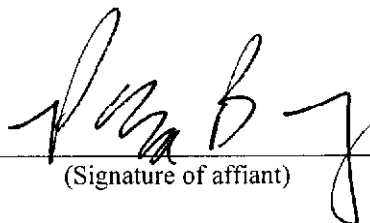
This application shall be verified under oath.

OATH

State of New Jersey)
)ss
County of _____)


Pablo Barry makes oath and says that he is Chief Financial Officer
(Insert here the name of affiant) (Insert the official title of the affiant)
of TRICOM USA, INC.
(Insert here the exact legal title or name of the Applicant)

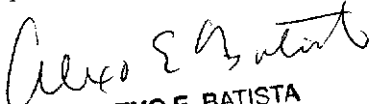
that he has examined the foregoing application and that to the best of his knowledge, information, and belief, all statements of fact contained in the said application are true, and the said application is a correct statement of the business and affairs of the above-named applicant in respect to each and every matter set forth therein.


(Signature of affiant)

Subscribed and sworn to before me, a Notary Public/ _____
(Title of person authorized to administer oaths)

in the State and County above named, this 9 day of NOVEMBER, 2000.


(Signature of person authorized to administer oath)


ALEXO E. BATISTA
NOTARY PUBLIC OF NEW JERSEY
ID # 2110039
QUALIFIED IN HUDSON COUNTY
My Commission Expires May 21, 2003